

Fee schedule for services

Retirement accounts

Account set-up/annual fees:

IRA	\$35
Money purchase/profit-sharing plans/Individual 401(k)35

Termination fees:

IRA	125
Money purchase/profit-sharing plans/Individual 401(k)	125

Annual custody and safekeeping fees for accounts below minimum threshold (brokerage only)

Per master account375
Per additional account125

Commission charges (brokerage only)

Minimum commission:

Stock/bonds	100
Options exercise and assignment	00.01 per share

Wire fees

Domestic/Foreign institution35
IRA15
Return check fees (NSF)25
Overnight mail20
Legal transfer fee (physical certificate)90
DRS registration/ship DRS statement10
Transit/ship certificate–non DRS eligible220
Stop payment15
Mailgram	5

Special service charges

Service fee – mutual funds*20
Service fee – fixed income*10
Service fee – equities and exchange traded funds (ETFs)*	5
Voluntary tender offer40
Redemptions–customer name25
Physical reorg25
Account termination fee95
Copies of statements20
Third party checks12
IRS Form 990-T UBTI Tax Return Filing Fee (only if filing is required)	300

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