

# Fee schedule for services

## Retirement accounts

### Account set-up/annual fees:

IRA . . . . .	\$35
Money purchase/profit-sharing plans/Individual 401(k) . . . . .	.35

### Termination fees:

IRA . . . . .	125
Money purchase/profit-sharing plans/Individual 401(k) . . . . .	125

### Annual custody and safekeeping fees for accounts below minimum threshold (brokerage only)

Per master account . . . . .	.375
Per additional account . . . . .	.125

### Commission charges (brokerage only)

#### Minimum commission:

Stock/bonds . . . . .	100
Options exercise and assignment . . . . .	00.01 per share

### Wire fees

Domestic/Foreign institution . . . . .	.35
IRA . . . . .	.15
Return check fees (NSF) . . . . .	.25
Overnight mail . . . . .	.20
Legal transfer fee (physical certificate) . . . . .	.90
DRS registration/ship DRS statement . . . . .	.10
Transit/ship certificate–non DRS eligible . . . . .	.220
Stop payment . . . . .	.15
Mailgram . . . . .	5

### Special service charges

Service fee – mutual funds* . . . . .	.20
Service fee – fixed income* . . . . .	.10
Service fee – equities and exchange traded funds (ETFs)* . . . . .	5
Voluntary tender offer . . . . .	.40
Redemptions–customer name . . . . .	.25
Physical reorg . . . . .	.25
Account termination fee . . . . .	.95
Copies of statements . . . . .	.20
Third party checks . . . . .	.12
IRS Form 990-T UBTI Tax Return Filing Fee (only if filing is required) . . . . .	300

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