

Fee schedule for services

Retirement accounts

Account set-up/annual fees

IRA	\$35
Money purchase/profit-sharing plans/Individual 401(k)35

Termination fees

IRA	125
Money purchase/profit-sharing plans/Individual 401(k)	125

Annual custody and safekeeping fees for accounts below minimum threshold (brokerage only)

Per master account375
Per additional account125

Commission charges (brokerage only)

Principal amount commission charge for Option transactions

\$.01 - \$2,499.9930
\$2,500 - \$4,999.9950
\$5,000 - \$19,999.9970
\$20,000 - \$29,999.99	100
\$30,000 - \$49,999.99	135
\$50,000 +	175

Wire fees

Domestic/Foreign institution35
IRA15
Return check fees (NSF)25
Overnight mail20
Legal transfer fee (physical certificate)90
DRS registration/ship DRS statement10
Transit/ship certificate–non DRS eligible220
Stop payment15
Mailgram	5

Special service charges

Service fee – mutual funds*20
Service fee – fixed income*10
Service fee – equities and exchange traded funds (ETFs)*	5
Voluntary tender offer40
Redemptions–customer name25
Physical reorg25
Account termination fee95
Copies of statements20
Third party checks12
IRS Form 990-T UBTI Tax Return Filing Fee (only if filing is required)	300

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