

Fee schedule for services

Retirement accounts

Annual maintenance fee:

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| IRA, Roth IRA, Coverdell Education Savings, IRS Model 5305 SEP | \$43.50 |
| IRA and Roth IRA (mutual fund only) ¹ | .12 |
| SARSEP/Prototype SEP, SIMPLE IRA, qualified retirement plans (money purchase/profit sharing plans/401(k)/Individual 401(k)/403(b)(7) custodial accounts | 58.50 |

Termination fees:

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| IRA/Roth IRA/Coverdell Education Savings/IRS Model SEP | .95 |
| IRA and Roth IRA (mutual fund only) ¹ | .95 |
| SARSEP/Prototype SEP, SIMPLE IRA, qualified retirement plans (money purchase/profit sharing plans/401(k)/Individual 401(k)/403(b)(7) custodial accounts | .95 |

Commission and other charges

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| Minimum commission: Stock, option, mutual fund | .20 |
| Confirmation fee (per confirmation) | 1 |
| Mutual fund surcharge ² | .10 |
| Option exercise and assignment transactions (per notification) | .25 |
| Precious metals | .20 |
| Unit investment trusts | .35 |
| DK items: US/Non US | 10/10 per day |
| Foreign receive & deliver fees: Euroclear/all other foreign depositories | 50/75 |
| Inactive account fee (per inactive account, per year) | .25 |
| Inactive account fee: mutual fund only (per inactive account, per year) | 12.50 |
| Margin and COD extension (per event) | .10 |
| Paper delivery for statements and confirms | 75 cents |
| Reorganization items: voluntary/mandatory (per event) | 50/10 |
| Bond redemptions (per event) | .10 |
| Foreign securities (per account, per position, per month). | .14 |
| Wire funds | 7.50 |
| Precious metals storage fee (per market value per annum) | .75 basis points |
| Precious metals delivery fee | pass-through |
| Safekeeping (per account, per position, per month). | .10 |
| Safekeeping foreign securities (per account, per position, per month) | .10 |
| Fractional share equity dividend reinvestment (per item). | 1 |
| Overnight courier fee: standard/Saturday/international delivery. | 12/18/25 |

1. A fee of \$50 will apply for conversions to a mutual fund only IRA /Roth IRA from a traditional IRA/traditional Roth IRA.

2. This fee applies to purchases and redemptions only.

Transfers

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| Legal, GNMA, restricted items (per transfer) | 135 |
| Outgoing account (per transfer) | .65 |
| Global transfers, receive, delivers (per transfer), plus settlement fees) | |
| Euro/Other Non-US | 25/75 |
| Accommodation (per transfer) | .60 |
| Register and ship certificate (per transfer) | .500 |
| Direct registration (per transfer) | .10 |

Corestone checking account fees

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|--|-------------------------------|
| Silver account (annual fee waived if cash sweep >\$25,000) | \$25 |
| Check reorder (Silver/Gold/Platinum) | 12.50/10/7.50 |
| Carbon copy checks (initial order/reorder) | 15/25 |
| Business checks (initial order/reorder) | 50/40 |
| Replacement binder | .20 |
| Returned checks or ACH debits | .25 |
| Stop payment | .25 |
| Cash advance fee (non-ATM) | 0.25% of Principal (2.50 Min) |
| Copy of paid checks or Visa draft | 2.50 |

Alternative investment network platform

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| Transaction fee: this fee applies to each alternative investment not participating in the no-fee Pershing Alternative Investment Network (per position, per event) | .50 |
| Annual per position service charge (registered/unregistered) | .35/125 |
| Alternative investment provider eligibility review fee (per review) | .300 |

About Mesirow

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