Mesirow

Retirement Advisory Services at a glance

Mesirow Retirement Advisory Services provides retirement plan services to corporations, municipalities and nonprofit organizations nationwide. We help plan sponsors manage fiduciary risk, provide strategic plan consulting, perform qualitative and quantitative investment monitoring on plan assets and develop solutions to assist employees in saving for retirement.

Benefit of partnering with Mesirow

We know plan sponsors require a systematic, repeatable and well-documented process, so we do more than help you fulfill this fiduciary obligation. We lay the groundwork for success with sound plan design, meticulous implementation and a focus on driving outcomes that truly matter.

Overview of services

DEFINED CONTRIBUTION

- 3(21) or 3(38) fiduciary services
- 401(k) mergers/consolidation
- Multiple Employer Plans (MEPs)
- Pooled Employer Plans (PEPs)
- Strategic plan design
- Merger, acquisition & due diligence process
- myFinancial Future® | financial wellness

DEFINED BENEFIT

- 3(21) or 3(38) fiduciary services
- Pension de-risking
- Asset liability matching
- Pension risk transfer

NONQUALIFED DEFERRED COMPENSATION

- Strategic plan design
- Nonqualified plan administration

Awards

TOP 100 BARRON'S RIA Firms 2021

planadviser 2022 TOP RETIREMENT PLAN ADVISERS

NAPA TOP DC ADVISOR TEAMS 2021

Barron's Top 100 RIA Firms

Top Retirement Plan Advisers by PLANADVISER

2021 NAPA Top DC Advisor Team

BY THE NUMBERS

376	$12.6B	23	20+
retirement plans[1]	assets under management/ advisement[2]	retirement plan professionals[2]	average years of experience[1]

1. As of 12.31.2021. | 2. As of 6.30.22. Some assets under advisement are on a 45-to-90-day lag due to time needed to confirm away assets. Assets under management/advisement is a combination of assets under management and assets under advisory/administration. | Mesirow Retirement Advisory Services is a division of Mesirow financial Investment Management, Inc., an SEC-registered investment advisor.

Key differentiators

1

Plan design consulting

Understanding a firm's comprehensive plan is the first step in helping them reach the goals they want to achieve.

We look at plan design, participant behavior and industry statistics to help ensure we are addressing all factors before suggesting a holistic solution.

2

Well disciplined process

Rigorous quantitative and qualitative research and analysis, led by our Investment Committee, provides deep insight to inform investment decisions.

Processes include ongoing review of supplemental options and additions, and continuous performance monitoring.

3

Risk mitigation

As a fiduciary partner, we help plan sponsors fulfill their fiduciary obligations and manage risk by establishing a systematic, repeatable and well-documented process.

Ongoing industry monitoring and analysis continues to keep them informed and their plan in compliance.

4

Participant engagement

Our commitment centers on driving successful participant outcomes.

Effective education and communication and state-of-the-art investment management tools help engage plan participants and supports long-term financial wellbeing.

Industry sectors served

- Health care
- Law firms
- Manufacturing
- Real estate, construction, condo
- Transportation, distribution, warehousing
- Financial services
- Retail/wholesale
- Consumer services
- Media and communications
- Commercial services and supplies
- Higher education
- Governmental entities

Next steps

To learn more about how Mesirow can help, please contact the Retirement Advisory Services team at 312.595.6179 or rasclientservices@mesirow.com.